

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors

Wei-Ping LI et al.

Serial No.

09/750,779

Filed

2 January 2001

For

Controlled Delivery of Therapeutic Agents by Insertable Medical

Devices

Group Art Unit

1633

Examiner

Not Assigned

RECEIVED

SEP 1 9 2001

OFFICE OF PETITIONS

ASSISTANT COMMISSIONER FOR PATENTS Washington, DC 20231

#### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION,

SIR:

In response to the Notice to File Missing Parts of Application mailed 14 February 2001, Applicants submit the following documents. Applicant hereby requests a two-month extension of time for responding to this Requirement. Accordingly this Response is due, together with the fee for a five-month extension of time (\$1890.00), on or before Friday, 14 September 2001.

- (1) Declaration signed by inventors Wei-Ping LI and Hai-Quan MAO;
- (2) Petition under 37 C.F.R. § 1.47 in lieu of Declaration signed by Kam W. LEONG;
- (3) Declaration by Jennifer L. King In Support of Petition under 37 C.F.R. § 1.47;
- (4) Exhibits A-I in support of Petition under 37 C.F.R. § 1.47; and
- (5) a copy of the Notice to File Missing Parts.

Also submitted herewith are Powers of Attorney signed by the inventors.

09/17/2001 SLUANG1 00000014 110600 09750779

RECEIVED

03 FC:128 1890.00 CH

NOV 0 9 2001

**OFFICE OF PETITIONS** 

Application Serial No. 09/750,779

Docket No.: 12013/55202

The Commissioner is herewith authorized to charge the \$130.00 late filing fee and the \$1890.00 fee for five-month extension of time for response to Deposit Account No. 11-0600.

The Commissioner is authorized to charge all fees or credit any overpayments relative to the above-cited application under 37 C.F.R. §§ 1.16 and 1.17, to Deposit Account No. 11-0600. A duplicate copy of this sheet is attached.

Respectfully submitted,

**KENYON & KENYON** 

Dated: 14 September 2001

ennifer L. King

Registration No. 46,828

KENYON & KENYON Suite 700 1500 K Street, NW Washington, DC 20005 (202) 220-4200 DC341651v1

**RECEIVED** 

NOV 0 9 2001

**OFFICE OF PETITIONS** 



PATENT Docket No. 12013/55202

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors

Wei-Ping LI et al.

Serial No.

09/750,779

Filed

2 January 2001

For

Controlled Delivery of Therapeutic Agents by Insertable

Medical Devices

Group Art Unit

1633

Examiner

Not Assigned

Commissioner of Patents

and Trademarks

Washington D.C. 20231

PETITION UNDER 37 C.F.R. § 1.47

Sir:

The above-captioned utility application was filed 2 January 2001 on behalf of joint inventors Wei-Ping LI, Hai-Quan MAO, and Kam W. LEONG. Pursuant to 37 C.F.R. § 1.47, for the reasons set forth below, the Declaration filed herewith is executed only by Wei-Ping LI and Hai-Quan MAO.

Dr. Kam Leong's last known home address is:

Kam Leong, Ph.D. 10242 Breconshire Rd.

Ellicott City, MD 21042.

Although Dr. Leong has signed a Power of Attorney for the above-captioned application, all efforts obtain Dr. Leong's signature on the Declaration filed herewith have been unsuccessful.

On or about 12 March 2001, Scott Bluni, Patent Counsel for Boston Scientific

QU1911 01000019 110000 09750770

366346-2685/112401

- 1 -

Corporation (BSC)<sup>1</sup> visited Dr. Leong at his place of business and requested him to sign (1) Powers of Attorney for the above-captioned application and the corresponding international (PCT) application, (2) a Declaration For Utility or Design Application for the above-captioned application, and (3) an Assignment of the above-captioned application to Scimed, all of which documents were provided to Dr. Leong by Scott Bluni.

Kenyon & Kenyon received Powers of Attorney executed by Dr. Leong on 15 March 2001. However, no Declaration executed by Dr. Leong was received in conjunction with these Powers, or at any time thereafter.

On 1 August 2001, Cathleen K. Dyer, Legal Assistant to Scott Bluni, forwarded to Dr. Leong's home address (1) Powers of Attorney for the above-captioned application and the corresponding international (PCT) application, (2) a Declaration For Utility or Design Application for the above-captioned application, and (3) an Assignment of the above-captioned application to Scimed. An electronic mail message from FedEx indicates that the package was delivered and signed for. The documents forwarded by Cathleen K. Dyer differed from those documents brought to Dr. Leong by Scott Bluni in that Dr. Li's name was spelled incorrectly in the documents personally delivered by Scott Bluni.

On 13 September 2001, two voice mail messages were left at Dr. Leong's business telephone number. A message was also sent to Dr. Leong via electronic mail. This message requested that he execute the Declaration and Assignment and forward them to Jennifer King at Kenyon & Kenyon.

On 14 September 2001, electronic mail message from Dr. Leong was received. The message stated that he was in Singapore and that he had previously signed and sent documents. The message also provided a facsimile number.

On 14 September 2001, a second electronic mail message was sent to Dr. Leong, repeating the request that he sign the needed Declaration and Assignment and forward them to Jennifer King at Kenyon & Kenyon. Shortly thereafter, copies of the unexecuted Declaration and Assignment were forwarded to the facsimile number provided in Dr. Leong's

<sup>&</sup>lt;sup>1</sup>BSC is a sibling corporation to Scimed Life Systems, Inc. (Scimed), to which Dr. Leong's two co-inventors have assigned their rights in the above-captioned application. Exhibits A and B.

electronic mail message of 14 September 2001.

No response to the facsimile or electronic mail messages of 14 September 2001 was received. No Declaration executed by Dr. Leong was received.

Accordingly, the above-captioned application is made by inventors Wei-Ping LI and Hai-Quan MAO on behalf of themselves and Kam W. LEONG.

As evidence of the effort to obtain an executed Declaration from Dr. Leong, the Applicants submit herewith copies of the following documents:

- 1. Copies of an Assignment of the above-captioned patent application to Scimed, signed by Wei-Ping LI and Hai-Quan MAO. Exhibits A and B.
- 2. Powers of Attorney executed by Dr. Leong. Exhibits C and D.
- 3. A copy of the cover letter under which Cathleen K. Dyer forwarded the Declaration and other unexecuted documents to Dr. Leong's home address, the shipping label of the package containing these documents, and an electronic mail message from FedEx, indicating that the package was delivered and signed for. Exhibit E.
- An electronic mail message to Dr. Leong, requesting that he execute the Declaration and Assignment and forward them to Jennifer King at Kenyon & Kenyon. Exhibit F.
- 5. An electronic mail message from Dr. Leong stating that he was in Singapore and that he had previously signed and sent documents. Exhibit G.
- 6. A second electronic mail message to Dr. Leong, repeating the request that he sign the needed Declaration and Assignment and forward them to Jennifer King at Kenyon & Kenyon. Exhibit H.
- 7. Cover page and copies of the unexecuted Declaration and Assignment as forwarded to the facsimile number provided in Dr. Leong's electronic mail message of 14 September 2001. Exhibit I.
- 8. Declaration by Jennifer L. King.

The Commissioner is hereby authorized to charge the \$130.00 fee required by 37

**PATENT** Docket No.12013/55202

C.F.R. § 1.17(h) to Deposit Account 11-0600. A duplicate copy of this paper is attached for that purpose. The Commissioner is further authorized to charge any additional fees, or credit any overpayments, arising from this Petition to that same account.

The Applicants invite the Examiner to contact the undersigned to discuss any matter concerning this application.

Respectfully submitted

RECEIVED

SEP 1 9 2001

OFFICE OF PETITIONS

Date: 14 September 2001

Registration No. 46,828

KENYON & KENYON 1500 K St., N.W.

Washington, D.C. 20005

Tel: (202) 220-4200 Fax: (202) 220-4201

PATENT Docket No. 12013/55202

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors

Wei-Ping LI et al.

Serial No.

09/750,779

Filed

2 January 2001

For

Controlled Delivery of Therapeutic Agents by Insertable

**Medical Devices** 

Group Art Unit

1633

Examiner

Not Assigned

RECEIVED

SEP 1 9 2001

OFFICE OF PETITIONS

#### DECLARATION BY JENNIFER L. KING IN SUPPORT OF PETITION UNDER 37 C.F.R. § 1.47

- I, Jennifer L. King, hereby declare the following:
- 1. I am a patent attorney with the law firm of Kenyon & Kenyon.
- 2. I am the responsible attorney at Kenyon & Kenyon for U.S. Patent Application 09/750,779, filed 2 January 2001.
- 3. The last known home address for Dr. Kam Leong, an inventor of U.S. Patent Application 09/750,779, is:

Kam Leong, Ph.D. 10242 Breconshire Rd. Ellicott City, MD 21042.

4. Upon information and belief, on or about 12 March 2001, Scott Bluni, Patent Counsel for Boston Scientific Corporation (BSC)<sup>1</sup> visited Dr. Leong at his place of business and requested him to sign (1) Powers of Attorney for the above-captioned application and the corresponding international (PCT) application, (2) a Declaration For Utility or Design Application for the above-captioned application, and (3) an Assignment of the above-

<sup>&</sup>lt;sup>1</sup>BSC is a sibling corporation to Scimed Life Systems, Inc. (Scimed), to which Dr. Leong's two coinventors have assigned their rights in the above-captioned application. Exhibits A and B.

captioned application to Scimed, all of which documents were provided to Dr. Leong by Scott Bluni. Upon information and belief, Dr. Leong agreed to execute the documents and return them to BSC or directly to Kenyon & Kenyon.

- 5. Kenyon & Kenyon received Powers of Attorney executed by Dr. Leong on 15 March 2001. Exhibits C and D. No Declaration executed by Dr. Leong was received by me in conjunction with these Powers, or at any time thereafter. Upon information and belief, no Declaration executed by Dr. Leong was received by Kenyon & Kenyon or by BSC in conjunction with these Powers, or at any time thereafter.
- 6. Upon information and belief, on 1 August 2001, Cathleen K. Dyer, Legal Assistant to Scott Bluni, forwarded to Dr. Leong's home address (1) Powers of Attorney for the above-captioned application and the corresponding international (PCT) application, (2) a Declaration For Utility or Design Application for the above-captioned application, and (3) an Assignment of the above-captioned application to Scimed. A copy of the cover letter, the shipping label of the package containing these documents, and an electronic mail message from FedEx, indicating that the package was delivered and signed for, are provided herewith. Exhibit E. The documents forwarded by Cathleen K. Dyer differed from those documents brought to Dr. Leong by Scott Bluni in that Dr. Li's name was spelled incorrectly in the documents personally delivered by Scott Bluni.
- 7. On 13 September 2001, I left two voice mail messages at Dr. Leong's business telephone number. I also sent a message to Dr. Leong via electronic mail, requesting that he execute the Declaration and Assignment and forward them to me. Exhibit F.
- 8. On 14 September 2001, I received an electronic mail reply from Dr. Leong, stating that he was in Singapore and that he had previously signed and sent documents and providing a facsimile number. Exhibit G.
- 9. On 14 September 2001, I sent another electronic mail message to Dr. Leong, repeating my request that he sign the needed Declaration and Assignment and forward them to me. I then forwarded copies of the unexecuted Declaration and Assignment to the facsimile number provided in Dr. Leong's electronic mail message of 14 September 2001. Exhibits H and I.
- 10. I have received no response to my facsimile or electronic mail message of 14 September 2001.
- 11. No Declaration executed by Dr. Leong was received by me. Upon information and belief, no Declaration executed by Dr. Leong was received by Kenyon & Kenyon or by BSC.
- 12. Accordingly, I believe that Dr. Leong is an unavailable inventor under C.F.R. §1.47(a).

I, Jennifer L. King declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

Dated: 14 September

Jennifer L. King

Registration No. 46,828

,

#### ASSIGNMENT

WHEREAS, WE,

***************************************		
Wei-ping LI, a citizen of P.R. China, residing at 828 E. 2005 Apr. 10. Salt Lake City, UT &	G102	
Testung at the second s	8/2/01, W	<u> </u>
Hai-Quan MAO, a citizen of, residing at Block 60, West Coast Crescent, #04-01, West Bay	Condominium,	
SINGAPORE 128040.		RECEIVED
		SEP 1 9 2001

Kam W. Leong, a citizen of residing at 10242 Breconshire Road, Ellicott City, MD 21042, U.S.A. OFFICE OF PETITIONS

**4**002

(hereinafter also referred to as "the Assignors"); have invented new and useful improvements in CONTROLLED DELIVERY OF THERAPEUTIC AGENTS BY INSERTABLE MEDICAL DEVICES, for which we have made applications for Letters Patent of the United States, said applications being serial number 60/173,743, filed in the United States Patent and Trademark Office on 30 December 1999, and serial number 09/750,779, filed in the United States Patent and Trademark Office on 2 January 2001 and claiming priority from serial number 60/173,743; and

WHEREAS, Scimed Life Systems, Inc., organized and existing under and by virtue of the laws of the State of Minnesota, having an office at One Scimed Flace Maple Grove, Minnesota 55311 (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title and interest in and to the applications and invention therein described and claimed and any Letters Patent that may be issued upon the applications or for the improvements therein contained.

NOW, THEREFORE, in consideration of One U.S. Dollar (U.S. \$1.00) and other valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said applications for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, international, foreign and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.



- 2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.
- 3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.
- 4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance.
- 5. Bind my heirs, legal representatives, and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives, or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN TESTIM day of	ONY WHEREOF, we have hereunto set our hand and seal, 2001.	l this
	Wei-ping Lil	
	Hai-Quan Mao	
	Kam W. Leong	

gap 1 9 2001 i

CONCERNIATIONS



Attorney Docket: 2844/55201

#### ASSIGNMENT

WHEREAS, WE,

Wei-ping LI, a citizen of P.R. China, residing at 828 E. 200 S. Apr. 10 Salt Lake City, UT 1402		•
Total and the second se	8/2/01, WL	
Hai-Quan MAO, a citizen of, residing at Block 60, West Coast Crescent, #04-01, West Bay Cond SINGAPORE 128040.	lominium,	

Kam W. Leong, a citizen of \_\_\_\_\_\_, residing at 10242 Breconshire Road, Ellicott City, MD 21042, U.S.A.

(hereinafter also referred to as "the Assignors"); have invented new and useful improvements in CONTROLLED DELIVERY OF THERAPEUTIC AGENTS BY INSERTABLE MEDICAL DEVICES, for which we have made applications for Letters Patent of the United States, said applications being serial number 60/173,743, filed in the United States Patent and Trademark Office on 30 December 1999, and serial number 09/750,779, filed in the United States Patent and Trademark Office on 2 January 2001 and claiming priority from serial number 60/173,743; and

WHEREAS, Scimed Life Systems, Inc., organized and existing under and by virtue of the laws of the State of Minnesota, having an office at One Scimed Flace Maple Grove, Minnesota 55311 (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title and interest in and to the applications and invention therein described and claimed and any Letters Patent that may be issued upon the applications or for the improvements therein contained.

NOW, THEREFORE, in consideration of One U.S. Dollar (U.S. \$1.00) and other valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said applications for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, international, foreign and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

69/13/2001 16:26 FAX 508 647 2730



Attorney Docket: 2844/55201

- 2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.
- 3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.
- 4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance.
- 5. Bind my heirs, legal representatives, and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives, or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN TESTIMON, 2	NY WHEREOF, we have hereunto set our hand and seal 2001.
	Wei-ping Liv
	Hai-Quan Mao
	Vom W. Loons

#### ASSIGNMENT

WHEREAS, WE,	
Wei-ping LI, a citizen of, residing at,	RECENED SEP.1 9 2001
Hai-Quan MAO, a citizen of the People's Republic of China, residing at Block 60, West Coast Crescent, #04-01, West Bay Condominium, SINGAPORE 128040.	office of Pennions
Kam W. Leong, a citizen of, residing at 10242 Breconshire Road, Ellicott City, MD 21042, U.S.A.	

(hereinafter also referred to as "the Assignors"); have invented new and useful improvements in CONTROLLED DELIVERY OF THERAPEUTIC AGENTS BY INSERTABLE MEDICAL DEVICES, for which we have made applications for Letters Patent of the United States, said applications being serial number 60/173,743, filed in the United States Patent and Trademark Office on 30 December 1999, and serial number 09/750,779, filed in the United States Patent and Trademark Office on 2 January 2001 and claiming priority from serial number 60/173,743; and

WHEREAS, Scimed Life Systems, Inc., organized and existing under and by virtue of the laws of the State of Minnesota, having an office at One Scimed Place Maple Grove, Minnesota 55311 (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title and interest in and to the applications and invention therein described and claimed and any Letters Patent that may be issued upon the applications or for the improvements therein contained.

NOW, THEREFORE, in consideration of One U.S. Dollar (U.S. \$1.00) and other valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said applications for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, international, foreign and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

00/13/2001 16:28 FAX 508 647 2730



Attorney Docket: 2844/55201

KENYON DC

- 2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.
- 3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.
- 4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make. use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance.
- 5. Bind my heirs, legal representatives, and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives, or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

day of all	TESTIMONY WHEREOF, we have hereunto set our hand and seal this LAUST, 2001.
	<i>(</i> )

Wei-ping Li

Kam W. Leong



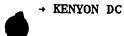
WHEREAS, WE,	
Wei-ping LI, a citizen of, residing at	SEP.1 9 2001
Hai-Quan MAO, a citizen of <u>the People's</u> Republic of China, residing at Block 60, West Coast Crescent, #04-01, West Bay Condomini SINGAPORE 128040.	office of pennions um,
Kam W. Leong, a citizen of, residing at 10242 Reconshire Road Ellicott City MD 21042 IIS A	

(hereinafter also referred to as "the Assignors"); have invented new and useful improvements in CONTROLLED DELIVERY OF THERAPEUTIC AGENTS BY INSERTABLE MEDICAL DEVICES, for which we have made applications for Letters Patent of the United States, said applications being serial number 60/173,743, filed in the United States Patent and Trademark Office on 30 December 1999, and serial number 09/750,779, filed in the United States Patent and Trademark Office on 2 January 2001 and claiming priority from serial number 60/173,743; and

WHEREAS, Scimed Life Systems, Inc., organized and existing under and by virtue of the laws of the State of Minnesota, having an office at One Scimed Place Maple Grove, Minnesota 55311 (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title and interest in and to the applications and invention therein described and claimed and any Letters Patent that may be issued upon the applications or for the improvements therein contained.

NOW, THEREFORE, in consideration of One U.S. Dollar (U.S. \$1.00) and other valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said applications for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, international, foreign and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.



- 2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.
- 3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transferall said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.
- 4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance.
- 5. Bind my heirs, legal representatives, and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives, or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

IN TESTIMONY WHEREOF, we have hereunto set our hand and seal this day of August, 2001.

Wei-ping Li

Hai-Ouan Mac

Kam W. Leong

BSC PATENT DEPT. JOHNS HOPKINS

FAX NO.

KENYON DC

12 006 12 005

P. 03/06



# PCT POWER OF ATTORNEY International Application No. PCT/US/01/00025

The undersigned person(s):

(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

Leong, Kam W.

10242 Breconshire Road

Ellicott City, MD 21042.

U.S.A.

RECEIVED
SEP 1 9 2001

OFFICE OF PETITIONS

hereby appoints (appoint) the following persons as agent(s)

(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

Brainard, Charles R.; Toffenetti, Judith Bluni, Scott and King, Jennifer L. KENYON & KENYON 1500 K Street, N.W., Sulte 700 Washington, DC 20005 United States of America

to represent the undersigned before all competent International Authorities in connection with International Application No.: PCT/US01/00:025 entitled CONTROLLED DELIVERY OF THERAPEUTIC AGENTS BY INSERTABLE MEDICAL DEVICES and to make or receive payments on behalf of the undersigned.

Signature(s) (where there are several persons, each of them must sign; next to each signature, indicate the name of the person signal and the capacity in which the person signs, if such capacity is not obvious from reading this power):

Name:

Date: 5/15/01

FAX NO.

P. 06/06

PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Ethent and Tradomerk Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **POWER OF ATTORNEY OR** 

**AUTHORIZATION OF AGENT** 

Application Number	08/130,773			
Filing Date	January 2, 2001			
First Named Inventor	₩ei-pint Wei-Ping Li			
Group Art Unit	1633			
Examiner Name				
Attorney Docket Number	2844/55202			

I hereby appoint.  Practitioners at Custo	mer Number 23838		Place Customer Number Bar Code	
OR	•		Label here	_
☐ Practitioner(s) named	Name	Regi	stration Number	RECEIV
<b></b>	Manie			SEP 192
				OFFICE OF LETT
Luciania di America de la Composicio de	agent(s) to prosecute the applicatio	n identified above, a	and to transact all business in	the Patent and
Trademark Office connec	ded therewith.			
Miles of the party	spondence address for the above-k	dentified application	to:	
The above-mentioned		adiminos approxim		
OR The above-mentioned	Custolisa Millingi.			
☐ Firm or				
Individual Name	13215			
Address	1524 2 Tre			
Address		State	ZIP	
City				
Country Telephone		Fax		
l am the: ☑ Applicant				
	d of the enlire interest. See 37 CFF	Ŕ 3.71.		
Certificate under 3	7 CFR 3.73(b) is enclosed. (Form F	=TO/SB/96).		
	SIGNATURE of App		of Record	
Name Kam W. L	EONG			
Signature	autemp	·		
	11-121			
NOTE: Signatures of a	the inventors or assignees of re	cord of the entire in	nterest or their representativ	e(s) are required.
Submit multiple forms in Total of 3 forms ar	f more than one signature is requ	ired, see below .		

Burden Flour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DC 360227

# Scientific



August 1, 2001

Boston Scientific Corporation One Boston Scientific Place Natick, MA 01760-1537

Tel. 508.650.8000 www.bsci.com

#### Via Federal Express

Kam W. Leong 10242 Breconshire Road Ellicott City, MD 21042 RECEIVED
SEP 1 9 2001

OFFICE OF FEMILENS

Re:

US Patent Application No. 09/750,779

"Controlled Delivery of Therapeutic Agents by Insertable Medical Devices"

Our Reference Number: 99-L0196

#### Dear Kam Leong:

Enclosed please find papers for you to sign regarding the above-referenced patent of which you are an inventor, along with Wei-Ping Li and Hai-Quan Mao.

Please sign these papers where indicated and return to me at your next earliest convenience. Please fill in your citizenship information.

Should you have any questions, do not hesitate to contact us.

Sincerely yours,

Cathleen K. Dyer Legal Assistant to

Scott T. Bluni

:¢kd

Enclosures

From: CATHIE DVER (508):552-5646 BOSTON SCIENTIFIC CORPORATION ONE BOSTON SCIENTIFIC PLACE

NATICK, MA, 01760

To: Kam Leong (508)652-5646

10242 Breconshire Road

Ellicott City, MD, 21042



SHIP DATE: 01 AUG01 WEIGHT: 1 LBS

Ref: 10032

TRK # 7901 1983 1146 PM

THU A1 02AUG01



Please fold this document in half and place it in the waybill pouch affixed to your shipment so that the barcode pertion of the label can be read and scanned. WARNING: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.

#### **Shipping Label**









- 1. Use the "Print" feature from your browser to send this page to your laser or inkjet printer.
- 2. Fold the printed page along the horizontal line.
- 3. Place label in shipping label pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.
- 4. To print a receipt of your shipment, please click on "Shipping History."

#### Ship a New Package



Use of this system constitutes your agreement to the service conditions in the current FedEx service Guide, available upon request.

FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$500, e.g. jewelly, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedFix Service Guide.

#### Dyer, Cathleen

09/14/2001 16:38 FAX 508 647 2738

From: S nt: sysdeliv@espringfingfinedex.com Thursday, August 02, 2001 10:15 AM

T :

dyerc@bsci.com

Subject:

FedEx shipment 7901 19831 146

Our records indicate that the shipment sent from CATHIE DYER/BOSTON SCIENTIFIC CORPORATIO to Kam Leong has been delivered. The package was delivered on 8/2/2001 at 10:04 AM and signed for or released by 10481753.

The ship date of the shipment was 8/1/2001.

The tracking number of this shipment was 790119831146.

Thank you for shipping with FedEx Ship Manager at FedEx.com. https://www.fedex.com/cgi-bin/ship\_it/interNetShip/

To track the status of this shipment on line click on the following: http://www.fedex.com/cgi-bin/tracking?tracknumbers=790119831146&action=track&language=english&cntry\_code=us

_	•	•			
11	is	~1:	21	•	Δт
v	10	ш		ш	

FedEx has not validated the authenticity of any email address.



Inbox | Previous Page

From: "Kam Leong" <kleong@bme.jhu.edu>

T: "Jennifer King" < jennrichking@hotmail.com>
CC: "Jennifer King" < jennrichking@hotmail.com>

Subject: RE: URGENT --- Patent Applications entitled "Controlled Delivery of Therapeutic Agen

Date: Fri, 14 Sep 2001 07:01:31 -0400

Dear Jennifer:

I am dismayed to see that the miscommunication continues. I don't know what other signatures are missing now, because I have signed and sent in mine previously. I am out of the country in Singapore now, and the fax number here is (65) 773-5647. Because of the time difference, I may not be able to send your fax back in time. Is there anyway that you can sign for us, through power of attorney? There must be some other way to solve this problem.

Kam

Kam W. Leong, Ph.D., Professor

Department of Biomedical Engineering

726 Ross Building

Johns Hopkins School of Medicine

Baltimore, MD 21205

Tel: 410-614-3741; Fax: 410-955-0549

----Original Message-----

From: Jennifer King [mailto:jennrichking@hotmail.com]

Sent: Thursday, September 13, 2001 4:29 PM

To: kleong@bme.jhu.edu

Subject: URGENT --- Patent Applications entitled "Controlled Delivery of Therapeutic Agen

Dear Dr. Leong:

Regarding your patent applications entitled "Controlled Delivery of Therapeutic Agents by Insertable Medical Devices", we have yet to receive from you certain papers that must be signed by all inventors and filed with the U.S. Patent and Trademark Office for both the U.S. application and the international application.

Hotmail

It is critical that we file these papers with the U.S. Patent and Trademark Office by tomorrow, 14 September 2001. Specifically, your signature is needed on the Declaration for the U.S. application and the Assignment.

Please contact me via email or telephone as soon as possible. I would be happy to fax blank documents to you, if you do not have them.

If you choose to contact me via email, please reply to this email (jennrichking@hotmail.com), as Kenyon email is not functioning, due to the disaster in New York City.

Thank you.

Best regards,

Jennifer

RECEIVED
SEP 1 9 2001
OFFICE OF PETITIONS

Kenyon & Kenyon, 1500 K Street N.W., Suite 700, Washington, D.C. 20005

tel.: 202-220-4363 fax: 202-220-4201 email: jking@kenyon.com

This message is intended only for the use of the addressee and may contain information that is PRIVILEGED and CONFIDENTIAL. If you are not the intended recipient, you are hereby notified that any dissemination of this communication is strictly prohibited. If you have received this communication in error, please erase all copies of the message and its attachments and notify us immediately.

Get your FREE download of MSN Explorer at <a href="http://explorer.msn.com">http://explorer.msn.com</a>



© 2001 Microsoft Corporation. All rights reserved. TERMS OF USE TRUSTe Approved Privacy Statement



#### Dear Dr. Leong:

I apologize for any inconvenience that this may have caused you. Although we have received a signed PCT power of attorney and a signed U.S. power of attorney from you, we have not received a signed Declaration or Assignment.

We must file the signed Declaration in the U.S. PTO today, 14 September 2001, Eastern Daylight Time. It is now 8:30 AM here.

I will fax these documents to you immediately. Please sign them and fax them back to me as soon as possible. Regarding the Declaration, please note that the block for your signature is on the third page. Regarding the both the Assignment and the Declaration, please enter your citizenship in the appropriate spaces, located in your signature area on page three of the Declaration and on page one of the Assignment.

Thank you and Best Regards,

#### Jennifer

```
>From: "Kam Leong"
>To: "Jennifer King"
>CC: "Jennifer King"
>Subject: RE: URGENT --- Patent Applications entitled "Controlled Delivery of Therapeutic Agen
>Date: Fri, 14 Sep 2001 07:01:31 -0400
>Dear Jennifer:
>I am dismayed to see that the miscommunication continues. I don't know what
>other signatures are missing now, because I have signed and sent in mine
>previously. I am out of the country in Singapore now, and the fax number
>here is (65) 773-5647. Because of the time difference, I may not be able to
>send your fax back in time. Is there anyway that you can sign for us,
>through power of attorney? There must be some other way to solve this
>problem.
>
>Kam
>Kam W. Leong, Ph.D., Professor
>Department of Biomedical Engineering
>726 Ross Building
>Johns Hopkins School of Medicine
>Baltimore, MD 21205
>Tel: 410-614-3741; Fax: 410-955-0549
```

EXHIBIT H

>Original Message	
>From: Jennifer King [mailto:jennrichking@hotmail.com]	
>Sent: Thursday, September 13, 2001 4:29 PM	
>To: kleong@bme.jhu.edu	
>Subject: URGENT Patent Applications entitled "Controlled Delivery of	·
>Therapeutic Agen	:
>	
>Dear Dr. Leong:	
>Regarding your patent applications entitled "Controlled Delivery of	
>Therapeutic Agents by Insertable Medical Devices", we have yet to receive	
>from you certain papers that must be signed by all inventors and filed with	
>the U.S. Patent and Trademark Office for both the U.S. application and the	
>international application.	
>It is critical that we file these papers with the U.S. Patent and Trademark	RECEIVED
>Office by tomorrow, 14 September 2001. Specifically, your signature is	
>needed on the Declaration for the U.S. application and the Assignment.	SEP 1 9 2001
>Please contact me via email or telephone as soon as possible. I would be	
>happy to fax blank documents to you, if you do not have them.	OFFICE OF FETTHONS
>If you choose to contact me via email, please reply to this email (	
>jennrichking@hotmail.com), as Kenyon	•
>email is not functioning, due to the disaster in New York City.	
>Thank you.	•
>Best regards,	
>Jennifer	4
<b>&gt;</b>	•
> :	
>	
>Kenyon & Kenyon, 1500 K Street N.W., Suite 700, Washington, D.C. 20005	
>tel.: 202-220-4363 fax: 202-220-4201 email: jking@kenyon.com	
>	•
>This message is intended only for the use of the addressee and may contain	* .
>information that is PRIVILEGED and CONFIDENTIAL. If you are not the	
>intended recipient, you are hereby notified that any dissemination of this	
>communication is strictly prohibited. If you have received this	
>communication in error, please erase all copies of the message and its	•
>attachments and notify us immediately.	
>	
>	
>	
>	
>	
>Get your FREE download of MSN Explorer at http://explorer.msn.com	•
>	
	i de la companya de La companya de la co



#### **ATTORNEYS AT LAW**

1500 K Street, NW - Suite 700 Washington, DC 20005

TELEPHONE: 202/220-4200

FACSIMILE: 202/220-4201

TO

Dr. Kam Leong

FAX NO.

: 011 (65) 773-5647

**FROM** 

Jennifer L. King

DATE

**September 14, 2001** 

NUMBER OF PAGES INCLUDING COVER SHEET:

-- Six (6) --

IF ANY PAGES ARE MISSING, OR ARE ILLEGIBLE, PLEASE CALL 202/220-4280 AS SOON AS POSSIBLE

#### **URGENT** – FOR IMMEDIATE DELIVERY

Dear Dr. Leong:

I apologize for any inconvenience that this may have caused you. Although we have received a signed PCT power of attorney and a signed U.S. power of attorney from you, we have not received a signed Declaration or Assignment.

We must file the signed Declaration in the U.S. PTO today, 14 September 2001, Eastern Daylight Time. It is now 8:30 AM here.

I have enclosed herewith the documents on which we need your signature.

Regarding the Declaration, please note that the block for your signature is on the third page. Regarding both the Assignment and the Declaration, please also enter your citizenship in the appropriate spaces, located in your signature area on page three of the Declaration and on page one of the Assignment.

Please execute the Declaration and Assignment and fax them to me at 202-220-4201 as soon as possible. I appreciate your assistance in this matter.

Best regards,

Jennifer

The information contained in this facsimile message is attorney privileged and confidential information intended only for the use of the individual or entity named above.

If the reader of this message is not the intended recipient or the employee or agent responsible to deliver the facsimile to the intended recipient, you are hereby notified that any dissemination, distribution or copying of the communication is strictly prohibited.

If you have received this communication in error, please notify us by telephone, and return the original message to us at the above address via the Postal Service. We will reimburse any costs you incur in notifying us and returning the message to us. Thank you.

EXHIBITI

PTO/SB/01 (10-00) Please type a plus sign (+) inside this Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are 2844/55202 Att rney Docket Number **DECLARATION FOR UTILITY OR** LI, Wei-Ping First Named Inv ntor **DESIGN COMPLETE IF KNOWN** PATENT APPLICATION (37 CFR 1.63) 09/750,779 **Application Number**  □ Declaration □ Declaration January 2, 2001 Filing Date Submitted OR Submitted after Initial

Group Art Unit

**Examiner Name** 

1633

Filing (surcharge

(37 CFR 1.16 (e)) required)

With Initial

Filing

				<del></del>	Matter
As a below named inve	ntor, I hereby declare tha	nt:		O,	FFICE OF FETTILON
My residence, post office	address, and citizenship a	are as stated below next	t to my name.		
are listed below) of the subje	st and sole inventor (if only one ect matter which is claimed and	I for which a patent is soug	ht on the invention en	titled:	ural names
CONTROLLED DE MEDICAL DEVICE	ELIVERY OF THERAI S	PEUTIC AGENTS I	BY INSERTABI	-E	
the specification of which	(Title of th	e Invention)			1
is attached hereto					
OR					
was filed on (MM/DD/	YYYY) January 2, 20	as United States A	Application Number or	PCT Internation	ıal
Application Number	09/750,779 and	was amended on (MM/DD/	MYY)		(if applicable).
I hereby state that I have review specifically referred to above.	wed and understand the conte	nts of the above identified	specification, including	the claims as a	mended
I acknowledge the duty to disci applications, material informati international filing date of the c	on which became available be	tween the filing date of the	ed in 37 CFR 1.56, inc prior application and	cluding for contir the national or P	nuation-in-part CT
I hereby claim foreign priority to 365(a) of any PCT internationand have also identified below application having a filing date	onal application which designate, by checking the box, any for	ited at least one country of eign application for patent	ther than the United S or inventor's certificat	itates of America	a, listed below
Prior Foreign Application		Foreign Filing Date	Priority	Certified Cop	y Attached?
Number(s)	Country	(MM/DD/YYYY) Country	y Not Claimed	YES	NO
			. 🗆		
☐ Additional foreign application	on numbers are listed on a sup	plemental priority data she	et PTO/SB/02B attacl	ned hereto:	
I hereby claim the benefit under	er 35 U.S.C. 119(e) of any Uni	ted States provisional appli	ication(s) listed below		
ApplicationNumber(s	Filing Date (	MM/DD/YYYY)			
60/173,743	12/30/199	99	numbers a a supplem	provisional ap re listed on ental priority d	ata sheet
			PTO/SB/02	2B attached he	reto.

[Page 1 of 3]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to response

9 2001

PETMONS

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE pond to a collection of information unless it contains a valid OMB control number.

### **DECLARATION** — Utility or Design Patent Application

Direct all correspondence to:		ustomer Number r Bar Code Label		23838	OR		orrespondance address below
Name							
Address							, a w
Address							SEP
City				State		ZIP	OFFICE (
Country Telephone							Fax
I hereby declare that all statemer believed to be true; and further the punishable by fine or imprisonme application or any patent issued to	nat these sta ent, or both,	atements were made v	with the	knowledge that willf	ful false state	ements	s and the like so made are
NAME OF SOLE OR FIRST	INVENT	OR:		A petition has be	een filed fo	r this	unsigned inventor
Given Name Wei-Ping				mily Name Surname Ll			
Inventor's Signature						Da	ate <u>Élime</u>
Residence: City		State	Co	ountry		Ci	tizenship
Mailing Address							
Mailing Address							
City	State		ZIP		Country		
NAME OF SECOND INVEN	TOR:			A petition has be	en filed for	this u	unsigned inventor
Given Name Hai-Quan				amily Name Surname MA	.O		
Inventor's Signature			Dá	ate			
Residence: City		State	C	ountry			Citizenship
SINGAPORE			SI	INGAPORE			
Mailing Address Block 6	i0, West (	Coast Crescent, #0	04-01				
Mailing Address West I	Bay Cond	dominium					
City	State		Tz	IP .	<del></del>	Со	untry
SINGAPORE	<u> </u>		1:	28040		SIN	IGAPORE
Additional inventors are	heina nam	end on the	clement	al Additional Invest	as(a) shoot(s)	חדם	/SR/02A attached barata

Please type a plus sign (+) inside this box —

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

#### **DECLARATION**

**ADDITIONAL INVENTOR(S)** Supplem ntal Sheet Pag 3 of 3

Name of Additional Joint Inventor, if any:			A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])			Family Name or Sumame				
Kam W.		LE	ONG			RECEN	
Inventor's Signature					Date	SEP 1 9	
Residence: City Ellicott City	MD State	Cou	USA ntry		Citizenship	OFFICE OF BEI	
Mailing Address 10242 Breconshire	Rd.						
Mailing Address							
City Ellicott City	MD State	ZIP	21042	Cou	U.S.A.		
Name of Additional Joint Inventor, if any	any: A petition has been filed for this unsigned inventor						
Given Name (first and middle [if any])			Family Name or Sumame				
		上					
Inventor's Signature					Date		
Residence: City	State	Cou	intry		Citizenship	. (+3	
Mailing Address						. 4 19 - 12 1	
Mailing Address	•					2.50	
City	State	Zip		Cou	intry		
Name of Additional Joint Inventor, if any	:]		A petition has been filed	d for t	his unsigned invento	•	
Given Name (first and mide	dle [if any])		Fa	amily l	Name or Sumame		
						_ <del></del>	
Inventor's Signature					Date		
Residence: City	State	Cou	intry		Citizenship		
Mailing Address							
Mailing Address							
City	State		Zip	Co	ountry		

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED

#### ASSIGNMENT

WHEREAS, WE,	SEP 1 9 2001
Wei-ping LI, a citizen of, residing at,	OFFICE OF PETWICKS
Hai-Quan MAO, a citizen of, residing at Block 60, West Coast Crescent, #04-01, West B SINGAPORE 128040.	ay Condominium,
Kam W. Leong, a citizen of, residing at 10242 Breconshire Road, Ellicott City, MD 210	042, U.S.A.

(hereinafter also referred to as "the Assignors"); have invented new and useful improvements in **CONTROLLED DELIVERY OF THERAPEUTIC AGENTS BY INSERTABLE MEDICAL DEVICES**, for which we have made applications for Letters Patent of the United States, said applications being serial number 60/173,743, filed in the United States Patent and Trademark Office on 30 December 1999, and serial number 09/750,779, filed in the United States Patent and Trademark Office on 2 January 2001 and claiming priority from serial number 60/173,743; and

WHEREAS, Scimed Life Systems, Inc., organized and existing under and by virtue of the laws of the State of Minnesota, having an office at One Scimed Place Maple Grove, Minnesota 55311 (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title and interest in and to the applications and invention therein described and claimed and any Letters Patent that may be issued upon the applications or for the improvements therein contained.

NOW, THEREFORE, in consideration of One U.S. Dollar (U.S. \$1.00) and other valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said applications for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all divisional, renewal, substitute, continuation, international, foreign and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in our names or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise.

- 3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct.
- 4. Warrant that we have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance.
- 5. Bind my heirs, legal representatives, and assigns, as well as ourselves, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to us or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by us, our heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to us relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in our control or in the control of our heirs, legal representatives, or assigns which may be useful for establishing the facts of our conceptions, disclosures, and reduction to practice of said inventions and discoveries.

_day of _	IN TESTIMONY WHEREOF, we have hereunto set our hand and sea, 2001.
	Wei-ping Li
	Hai-Quan Mao
	Kam W. Leong

TRANSMISSION OK

TX/RX NO
CONNECTION TEL
CONNECTION ID
ST. TIME
USAGE T

USAGE T
PGS. SENT
RESULT

011657735647

09/14 08:40 01'56 6 OK

RECEIVED

図001

SEP 1 9 2001

OFFICE OF PETITIONS

## **KENYON & KENYON**

ATTORNEYS AT LAW 1500 K Street, NW - Suite 700 Washington, DC 20005 TELEPHONE: 202/220-4200

FACSIMILE: 202/220-4201

FACSIMILE COVER SHEET

ŤΟ

: Dr. Kam Leong

FAX NO.

: 011 (65) 773-5647

FROM

: Jennifer L. King

DATE

: September 14, 2001

NUMBER OF PAGES INCLUDING COVER SHEET:

-- Six (6) --

IF ANY PAGES ARE MISSING, OR ARE ILLEGIBLE, PLEASE CALL 202/220-4280 AS SOON AS POSSIBLE

## **URGENT** – FOR IMMEDIATE DELIVERY

Dear Dr. Leong:

I apologize for any inconvenience that this may have caused you. Although we have received a signed PCT power of attorney and a signed U.S. power of attorney from you, we have not received a signed Declaration or Assignment.

We must file the signed Declaration in the U.S. PTO today, 14 September 2001, Eastern Daylight Time. It is now 8:30 AM here.

I have enclosed herewith the documents on which we need your signature.

Regarding the Declaration, please note that the block for your signature is on the third page. Regarding both the Assignment and the Declaration, please also enter your citizenship in the appropriate spaces, located in your signature area on page three of the Declaration and on page one of the Assignment.

Please execute the Declaration and Assignment and fax them to me at 202-220-4201 as soon as possible. I appreciate your assistance in this matter.

Best regards.

: \ n \ .